

# Golden Beneficial Securities Corporation

## Privacy Policy

Golden Beneficial Securities Corporation, Inc. carries your account as an introducing broker/dealer. At Golden Beneficial Securities Corporation, we understand that privacy is an important issue for our customers. It is our policy to respect the privacy of all accounts and to protect the security and confidentiality of nonpublic personal information relating to those accounts. Please note that this policy applies to former customers as well as current customers.

**How and Why We Obtain Information** - In order to facilitate the servicing of your account, Golden Beneficial Securities Corporation, Inc. may collect nonpublic personal information about you from any of the following sources:

- Information we receive from you on applications or other forms (examples include name, address, Social Security number, birth date, assets and income)
- Information about your transactions with us (examples include trading history and account balances)
- Information about your transaction with others (for example, from other institutions if you transfer positions into an account at Golden Beneficial Securities Corporation, Inc.)

**Sharing of Nonpublic Personal Information** - Golden Beneficial Securities Corporation, Inc. does not disclose any nonpublic personal information about you to anyone, except to required service providers to facilitate the servicing of your account and as permitted by law. If you decide to close your account(s) or become an inactive customer, we will adhere to the privacy policies and practices as described in this notice.

**How We Protect Your Information** - Golden Beneficial Securities Corporation restricts access to your personal and account information to those employees who need to know that information to provide products or services to you. We maintain physical, electronic and procedural safeguards to guard your nonpublic personal information.

**Changes to Golden Beneficial's Privacy Policy** - We strive to ensure that our systems are secure and that they meet industry standards. However, technological advances and criminal intent have shown that few systems are absolutely impenetrable. Because we are constantly reviewing, refining and upgrading our technology we reserve the right to make changes to this privacy policy at any time.

**How To Get In Touch With Golden Beneficial About This Privacy Policy** - For more information relating to Golden Beneficial's privacy policy, please contact: Golden Beneficial Securities Corporation, Inc. – Compliance Department, 5850 San Felipe, Suite 111, Houston, TX 77057, (713) 781-9708